

**UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF CONNECTICUT
HARTFORD DIVISION**

Beth Andrew-Berry, individually and as a representative of the GWA, LLC 401(k) Profit Sharing Plan and a class of similarly situated persons,

Plaintiffs,

v.

George A. Weiss and GWA, LLC,

Defendants.

Case No. 3:23-CV-00978-OAW

August 4, 2025

**DECLARATION OF JEFF MITCHELL IN SUPPORT OF
PLAINTIFF'S MOTION FOR FINAL APPROVAL OF CLASS ACTION SETTLEMENT**

I, Jeff Mitchell, declare as follows:

1. I am currently a Project Manager for Analytics Consulting, LLC (hereinafter, "Analytics"), located at 18675 Lake Drive East in Chanhassen, Minnesota. Analytics provides consulting services for the design and administration of class action and mass tort litigation settlements and notice programs. Over the past twenty-six years, Analytics has managed settlements ranging from fewer than 100 class members to more than 40 million, including some of the largest and most complex notice and claims administration programs in history.

2. Analytics' clients include corporations, law firms (both plaintiff and defense), the Department of Justice, the Securities and Exchange Commission, and the Federal Trade Commission, which since 1998 has retained Analytics to administer and provide expert advice regarding notice and claims processing in its settlement/distribution of funds.

3. In my capacity as Project Manager, I count among my duties responsibility for matters relating to settlement administration in the above-captioned litigation.

4. Analytics has been engaged in this matter to provide settlement administration services, including (among other things) the mailing of the CAFA Notice and Court-approved Notice of Settlement, the establishment and maintenance of a Settlement Website and telephone call center facility, review and response to emails from Class Members, and the distribution of settlement benefits to Class Members (following final approval).¹

5. The Court approved Analytics as the Settlement Administrator in this matter in its Order on Plaintiff's Motion for Preliminary Approval of Settlement (hereinafter, "Preliminary Approval Order"). ECF No. 59.

CAFA Notice

6. On September 27, 2024, Analytics served the CAFA Notice to the United States Attorney General, the Attorneys General of all 50 States and the District of Columbia, and the Secretary of the United States Department of Labor, pursuant to 28 U.S.C. § 1715.

Class Notice

7. On or about June 10, 2025, Analytics received a data file provided by the Plan's recordkeeper that contained a list of Class Member names and addresses.

8. After receiving the class list, Analytics cross-referenced the Class Member addresses with the United States Postal Service National Change of Address database. The class list was then updated with any new addresses that were identified.

9. Pursuant to Section 4.2 of the Settlement Agreement, after updating the relevant addresses, Analytics mailed the Court-approved Notice of Settlement ("Class Notice") to 302

¹ Unless otherwise noted, all capitalized terms referenced herein have the meaning ascribed to them in the Parties' Settlement Agreement (ECF No. 52-1).

Class Members. A copy of the Notice is attached hereto as Exhibit 1. These Notices were mailed on June 20, 2025, in accordance with the Court's Preliminary Approval Order, by first-class mail, postage prepaid.

10. The Court-approved Rollover Form was included with each Notice that was sent to the Class Members. A copy of the Rollover Form is attached as Exhibit 2.

Effectiveness of Notice Program

11. Through the date of this Declaration, four (4) notices were returned to Analytics by the U.S. Postal Service without a forwarding address. In each case, Analytics conducted a search in an attempt to ascertain a valid address for the affected Class Member. As a result of these efforts, three (3) new addresses were identified for Class Members. Analytics subsequently updated the class list with these new addresses and re-mailed the applicable Notices and Rollover Forms to each of those addresses.

12. According to Analytics' records, out of 302 Notices, only one (1) (approximately 0.33%) was ultimately undeliverable as of the date of this Declaration.

Settlement Website and Telephone Support Line

13. From June 20, 2025, to the present, Analytics also has maintained a Settlement Website relating to this action, as provided by Section 4.3 of the Settlement Agreement. The internet address for this Settlement Website is www.WeissERISAsettlement.com. Among other things, the Settlement Website includes: (1) a "Frequently asked Questions" page containing a clear summary of essential case information; (2) a "Home" page and "Important Dates" page, each containing a clear notice of applicable deadlines; (3) a "Important Case Documents" page, which includes case and settlement documents for download (including, among other things, the Settlement Agreement, Class Notice, Rollover Form, and the Court's Preliminary Approval

Order); (4) contact information for Class Counsel and Defendants' Counsel; and (5) email, phone, and U.S. mail contact information for Analytics.

14. Analytics also created and maintained a toll-free telephone line as a resource for Class Members seeking information about the Settlement. The toll-free telephone line employs an interactive voice response system ("IVR system") to answer calls and provides callers the option of speaking with a live operator if they prefer. The toll-free number for the telephone support line is 1-877-620-4842. This telephone number was provided in the Class Notice and also appears on the Settlement Website.

Absence of Objections

15. As of the date of this Declaration, Analytics received 31 calls to the telephone support line, 12 emails, and 1 communication via U.S. mail regarding the Settlement. None were in the nature of objections, and I am not aware of any objections to the Settlement (including proposed attorneys' fees, expense reimbursements, and the class representative service award) from Class Members.

Pursuant to 28 U.S.C. § 1746, I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge, information, and belief.

Dated: July 30, 2025

Respectfully submitted,

DocuSigned by:



13EC110C92464EC...

JEFF MITCHELL

Analytics Consulting, LLC
18675 Lake Drive East
Chanhassen, Minnesota 55347

EXHIBIT 1

CLASS ACTION NOTICE

UNITED STATES DISTRICT COURT FOR THE DISTRICT OF CONNECTICUT

If you participated in or were a beneficiary of the GWA, LLC 401(k) Profit Sharing Plan (formerly known as the George Weiss Associates, Inc. 401(k) Profit Sharing Plan) (“Plan”) at any time from July 24, 2017 to the present, you are a part of a class action settlement.

PLEASE READ THIS NOTICE CAREFULLY

THIS NOTICE RELATES TO THE SETTLEMENT OF A CLASS ACTION LAWSUIT AND
CONTAINS IMPORTANT INFORMATION ABOUT YOUR RIGHTS AND CERTAIN DEADLINES

A Federal Court authorized this notice. You are not being sued.

This is not a solicitation from a lawyer.

- A Settlement has been reached in a class action lawsuit against George A. Weiss (“Weiss”) and GWA, LLC (“GWA”) (together, the “Defendants”). The class action lawsuit involves whether Defendants complied with the Employee Retirement Income Security Act (“ERISA”) in managing the Plan and retaining certain Disputed Investments affiliated with GWA.
- You are included as a Class Member if you are or were a Plan participant or beneficiary at any time from July 24, 2017 to the Effective Date of the Settlement (the “Class Period”).
- Defendants and/or their insurers have agreed to pay \$7,900,000.00 into a settlement fund to resolve the claims of the Settlement Class. Class Members are eligible to receive a *pro rata* share of the Net Settlement Fund, which is the amount in the settlement fund remaining after payment of administrative expenses, taxes, tax expenses, any attorneys’ fees and expenses that the Court awards to Class Counsel, and any class representative service awards to Plaintiff. The amount of each Class Member’s payment will be based on a Plan of Allocation that takes into account the size and timing of each Class Member’s investment in the Plan. Payments to current Plan participants will be deposited into their respective Plan accounts, or sent to them directly via check (unless they elect to receive their payment through a rollover to a qualified retirement account). Beneficiaries entitled to receive payment on behalf of a current Plan participant will receive their payment by check. Payments to former Plan participants (or their beneficiaries) will be made directly by check, unless they elect to receive their payment through a rollover to a qualified retirement account. If you would receive your payment via check but would prefer to receive it through a **rollover to a qualified retirement account**, **you must complete the Rollover Form online at the Settlement Website at www.WeissERISAsettlement.com by August 16, 2025.** Alternatively, you may complete and return the enclosed form with all required information to the address on the form by the same deadline.
- Please read this notice carefully. Your legal rights are affected whether you act, or don’t act.

**THIS TABLE CONTAINS A SUMMARY OF
YOUR LEGAL RIGHTS AND OPTIONS IN THIS SETTLEMENT**

DO NOTHING	You will get a share of the Settlement benefits to which you are entitled and will give up your rights to sue the Defendants about the allegations in this case. Eligible current Plan participants will receive their share of the Settlement via a deposit to their Plan account, or directly by check (unless they affirmatively elect to receive their payment through a rollover to a qualified retirement account). Eligible beneficiaries of current Plan participants will receive their share of the Settlement by check. Eligible former Plan participants and their beneficiaries will receive their Settlement payments by check, unless they affirmatively elect to receive their payment through a rollover to a qualified retirement account.
SUBMIT A ROLLOVER FORM	If you would like to receive your settlement payment through a rollover to a qualified retirement account, you must complete, sign, and mail the enclosed Rollover Form, or complete and sign the Rollover Form online at the Settlement Website at www.WeissERISAsettlement.com , by August 16, 2025.
OBJECT BY AUGUST 14, 2025	You may write to the Court if you don't like the Settlement to explain why you object.
ATTEND A HEARING	You may ask to speak in Court about the fairness of the Settlement.

BASIC INFORMATION

1. What is this Notice and why should I read it?

A court authorized this notice to let you know about a proposed settlement of a class action lawsuit called *Andrew-Berry v. Weiss, et al.*, Civil Action No. 3:23-CV-00978-OAW (the "Action"), brought on behalf of the Class Members (as described in Question 5 below), and pending in the United States District Court for the District of Connecticut. You need not live in Connecticut to get a benefit under the Settlement. This notice describes the Settlement. Please read this notice carefully. Your rights and options – and the deadlines to exercise them – are explained in this notice. Please understand that if you are a Class Member, your legal rights are affected regardless of whether you act.

2. What is a Class Action Lawsuit?

A class action is a lawsuit in which one or more plaintiffs – in this case a current participant in the Plan – sue on behalf of a group of people who allegedly have similar claims. After the Parties reached an agreement to settle this case, the Court granted preliminary approval of the Settlement and authorized the distribution of this notice to the Class. Among other things, this permits Class Members to voice their support for or opposition to the Settlement before the Court makes a final determination as to whether to approve the Settlement. In a class action, the court resolves the issues for all class members.

THE CLAIMS IN THE LAWSUIT AND THE SETTLEMENT

3. What is this Lawsuit about?

Plaintiff filed a class action complaint against GWA and Weiss on behalf of a class of participants in the Plan alleging that Defendants breached their fiduciary duties and engaged in prohibited transactions under ERISA by including the Disputed Investments in the Plan and by failing to adequately monitor the fees and performance of those funds. A

more complete description of what Plaintiff alleged is in the Complaint, which is available on the Settlement Website at www.WeissERISAsettlement.com.

GWA and Weiss deny Plaintiff's claims of wrongdoing or liability, and assert that they have always acted prudently and in the best interests of the Plan's participants and beneficiaries when taking actions as fiduciaries of the Plan. GWA also believes that the Plan provided a very generous benefit. The Defendants are settling the lawsuit solely to avoid the expense, inconvenience, and inherent risk and disruption of litigation.

4. Why is there a Settlement?

The Court has not decided in favor of either side in the case. Instead, both sides agreed to a settlement. That way, both sides avoided the cost and risk of a trial, and the affected Class Members will get benefits that they would not have received if Plaintiff had litigated the case and lost. The Plaintiff and her attorneys think the Settlement is in the best interests of the Class.

WHO'S INCLUDED IN THE SETTLEMENT?

5. How do I know if I am in the Class?

The Court decided that everyone who fits the following description is a member of the Settlement Class:

All participants and beneficiaries of the GWA, LLC 401(k) Profit Sharing Plan (F/K/A the George Weiss Associates, Inc. 401(k) Profit Sharing Plan) from July 24, 2017 to the Effective Date of Settlement, excluding Defendant George A. Weiss and any of his relatives, heirs, or trusts for which he and/or his family members are beneficiaries or trustees.

If you meet the definition above, you are a member of the Class.

THE SETTLEMENT BENEFITS

6. What does the Settlement provide?

Gross Settlement Amount. GWA has agreed to pay \$7,900,000.00 to settle the lawsuit. That amount, less amounts for expenses associated with administering the Settlement, the Independent Fiduciary who will review the Settlement on behalf of the Plan, taxes, tax expenses, as well as attorneys' fees, litigation expenses, and a service awards to Plaintiff (the latter three categories of which must be approved by the Court), is the "Net Settlement Amount" that will be paid to the Class. The Net Settlement Amount will be allocated to Class Members in accordance with a Plan of Allocation that is based on their Plan account balances during the Class Period.

Plan of Allocation. Payments to each Class Member will be calculated by the Settlement Administrator as follows, based on information provided by the Plans' Recordkeeper:

- a. For each Class Member, the Settlement Administrator shall determine a Settlement Allocation Score. A Class Member's Settlement Allocation Score shall be determined as follows:
 - i. For each Active and Former Participant that belongs to the Settlement Class, sum his or her total account balance as of the end of each calendar quarter from July 1, 2017 through July 31, 2024 (as it relates to each individual, the "Aggregate Account Balance")
 - ii. Sum the Aggregate Account Balance calculated for each Active and Former Participant that belongs to the Settlement Class (the "Aggregate Settlement Class Balance")
 - iii. For each Active and Former Participant that belongs to the Settlement Class, divide their Aggregate Account Balance by the Aggregate Settlement Class Balance.
- b. The Settlement Administrator shall determine the Entitlement Amount of each Class Member by multiplying each Class Member's Settlement Allocation Score by the Net Settlement Amount.

Release. In exchange for the monetary relief provided above, all Class Members will release and forever discharge GWA and the other Released Defendant Parties from Plaintiff's Released Claims.

The Released Defendant Parties include, but are not limited to, the Plan, each Defendant, and each of their present, former, or future affiliates, agencies, agents, assigns, associates, directors, employees, officers, parents, partners, representatives, subsidiaries, predecessors and successors, and all respective heirs, executors, administrators, agents, attorneys, personal representatives, stockholders, partners, subrogees, officers, directors, associates, controlling persons, employees, attorneys, and insurers.

Plaintiff's Released Claims include, but are not limited to, all claims that arise out of, relate to, are based on, or have any connection with any of the allegations, acts, omissions, purported conflicts, representations, misrepresentations, facts, events, matters, transactions or occurrences that were asserted in the Action or could have been asserted in the Action based on the identical factual predicate. The Plaintiff's Released Claims also include those that would be barred by the legal doctrine of *res judicata*; that relate to the direction to calculate, the calculation of, and/or the method or manner of the allocation of the Net Settlement Amount pursuant to the Plan of Allocation; or that relate to the approval by the Independent Fiduciary of the Settlement Agreement, unless brought against the Independent Fiduciary alone.

This is only a summary of the Released Defendant Parties and Plaintiff's Released Claims, and is not a binding description of either. The governing release language is found within the Settlement Agreement, which is available at www.WeissERISAsettlement.com. Generally, the release means that Class Members will not have the right to sue the Defendants, the Plan, or related parties for conduct during the Class Period arising out of or relating to the allegations in the lawsuit.

HOW TO GET BENEFITS

7. How do I get benefits?

Class Members do not have to submit claim forms in order to receive settlement benefits.

If you are a Class Member and are currently a Plan participant, your payment will be deposited into your Plan account in accordance with the Plan's investments, or directly by check (unless you elect to receive your payment through a rollover to a qualified retirement account).

If you are a beneficiary entitled to receive payment on behalf of a current Plan participant, you will receive your payment under the Settlement directly in the form of a check. If you are an alternate payee entitled to receive payment on behalf of a current Plan participant pursuant to a Qualified Domestic Relations Order (an "Alternate Payee"), you will receive your payment under the Settlement pursuant to the terms of your Qualified Domestic Relations Order.

If you are a Class Member who formerly participated in the Plan but no longer do so (or you are a beneficiary or an Alternate Payee of such a Class Member), then you will receive a payment under the Settlement directly in the form of a check, unless you elect to receive a rollover to a qualified retirement account.

If you would prefer to receive your settlement payment through a rollover to a qualified retirement account, you must complete, sign, and mail the enclosed Rollover Form, or complete and sign a Rollover Form online at the Settlement Website at www.WeissERISAsettlement.com, by August 16, 2025.

8. When will I get my payment?

Payments to Class Members will be distributed approximately fifty (50) days after the Effective Date of the Settlement, if the Settlement is finally approved by the Court. These payments may have certain tax consequences; you should consult your tax advisor.

The final hearing to consider the fairness of the Settlement is scheduled for August 26, 2025. If the Settlement is not approved by the Court, the Settlement will not become effective.

THE LAWYERS REPRESENTING YOU

9. Who represents the Settlement Class?

For purposes of the Settlement, the Court has appointed lawyers from the law firm of Cohen Milstein Sellers & Toll PLLC as Class Counsel. If you want to be represented by your own lawyer, you may hire one at your own expense. In addition, the

Court appointed the named Plaintiff, Beth Andrew-Berry, to serve as the Class Representative. The Class Representative is also a Class Member.

Subject to approval by the Court, up to \$45,000 may be paid to the Plaintiff as the Class Representative in recognition of time and effort she expended on behalf of the Class. The Court will determine the proper amount of any award to the Plaintiff. The Court may award less than that amount.

10. How will the lawyers be paid?

From the beginning of the case, which was filed in July 2023, to the present, Class Counsel have not received any payment for their services in prosecuting the case or obtaining the Settlement, nor have they been paid for any litigation expenses they have incurred. Class Counsel will apply to the Court for an award of attorneys' fees not to exceed one-third (1/3) of the \$7,900,000.00 settlement amount plus their litigation expenses incurred in the prosecution of the case. The Court will determine the proper amount of any attorneys' fees and expenses to award Class Counsel.

Any attorneys' fees and expenses awarded by the Court will be paid to Class Counsel from the settlement fund. Class Members will not have to separately pay anything toward the fees or expenses of Class Counsel.

INDEPENDENT FIDUCIARY

11. Will the Settlement be reviewed by anyone other than the Court?

Yes. GWA will select and retain, with Plaintiff's approval, an experienced Independent Fiduciary to review the Settlement on behalf of the Plan and determine whether to authorize the Plan to release the Plan's claims against Defendants and whether it is necessary to file an objection to the Settlement on behalf of the Plan. As an impartial third party, the Independent Fiduciary will review the Action and the Settlement, including the claims alleged against the Defendants, the terms of the Settlement, the Plan of Allocation, and the request for attorneys' fees and expenses. The Independent Fiduciary will submit its findings to the Parties in a written report. Class Counsel will file the Independent Fiduciary's report with the Court before the Fairness Hearing.

YOUR RIGHTS AND OPTIONS

12. What is the effect of final approval of the Settlement?

If the Court grants final approval of the Settlement, a final order and judgment dismissing the case will be entered in the Action. Payments under the Settlement will then be processed and distributed, and the release by Class Members will also take effect. No Class Member will be permitted to continue to assert Plaintiff's Released Claims in any other litigation against the Defendants or the other persons and entities covered by the release, as described in Question No. 6.

If the Settlement is not approved, the case will proceed as if no settlement had been attempted or reached. In that event, there is no assurance that members of the Settlement Class will recover more than what is provided for under the Settlement, or anything at all.

13. What happens if I do nothing at all?

If you do nothing, you will release any claims you may have against GWA and the Released Defendant Parties that are included in the Plaintiff's Released Claims (see Question 6). You may also receive a settlement payment as described above.

14. How do I get out of the Settlement?

If the Court approves the Settlement, you will be bound by it (including the release) and will receive whatever benefits you are entitled to under its terms. You cannot exclude yourself from the Settlement (i.e., opt-out), but you may notify the Court of any objection you may have to the Settlement. (See Question No. 16.) If the Court approves the Settlement, it will certify the Class under Federal Rule of Civil Procedure 23(b)(1), which does not permit Class Members to opt out of the Class.

15. Can I sue GWA for the same thing later?

No. If the Court approves the Settlement, you will have given up any right to sue GWA or any of the Released Defendant Parties for the Plaintiff's Released Claims.

16. How do I object to the Settlement?

You can object to the Settlement if you don't like any part of it. If you object, you must give the reasons why you think the Court should not approve the Settlement. The Court will consider your views. Your objection to the Settlement must be postmarked no later than August 14, 2025, and must be sent to the attorneys for the Parties at the addresses below, who will share your objection with the Court at the time the Parties seek final approval of the Settlement:

Class Counsel	Defendants' Counsel
Michelle C. Yau COHEN MILSTEIN SELLERS & TOLL PLLC 1100 New York Ave. NW Eighth Floor Washington, DC 20005	Alison V. Douglass GOODWIN PROCTER LLP 100 Northern Avenue Boston, MA 02210

The objection must be in writing and include the case name *Beth Andrew-Berry, et al. v George A. Weiss, et al.*, Civil Action No. 3:23-CV-00978-OAW; as well as include your (a) name; (b) address; (c) a statement that you are a member of the Class; (d) the specific grounds for the objection (including all arguments, citations, and evidence supporting the objection); (e) all documents or writings that you desire the Court to consider (including all copies of any documents relied upon in the objection); (f) your signature; and (g) a notice of intention to appear at the Fairness Hearing (if applicable). (If you are represented by counsel, you or your counsel must file your objection through the Court's CM/ECF system.) The objection must state whether it applies only to the objector, to a specific subset of the Class or to the entire Class. The Court will consider all properly filed comments from Class Members. If you wish to appear and be heard at the Fairness Hearing in addition to submitting a written objection to the Settlement, you or your attorney must say so in your written objection.

Class Counsel will file with the Court and post on the Settlement Website their request for attorneys' fees and expenses ten days prior to August 14, 2025. You may also object to the requested attorneys' fees and expenses or the proposed administrative expenses or class representative service awards.

THE COURT'S FAIRNESS HEARING**17. When and where will the Court hold a hearing on the fairness of the Settlement?**

A Fairness Hearing has been set for August 26, 2025, at 10:00 a.m., before The Honorable Omar A. Williams at the Abraham Ribicoff Federal Building, United States Courthouse, 450 Main Street, Hartford, Connecticut 06103. At the hearing, the Court will hear any comments, objections, and arguments concerning the fairness of the proposed Settlement, including the amount requested by Class Counsel for attorneys' fees and expenses, the proposed administrative expenses, and the proposed service award to Plaintiff as the Class Representative. You do not need to attend this hearing. You also do not need to attend to have an objection considered by the Court.

Note: The date and time of the Fairness Hearing are subject to change by Court Order, but any changes will be posted at www.WeissERISAsettlement.com.

18. Do I have to come to the Fairness Hearing?

No. Class Counsel will answer any questions the Court may have. But you are welcome to come at your own expense. If you send an objection, you don't have to come to Court to talk about it. As long as any written objection you choose to make was submitted on time and meets the criteria described above (see Question 16), the Court will consider it. You may also pay another lawyer to attend, but you don't have to.

19. May I speak at the hearing?

You may ask the Court for permission to speak at the hearing concerning any part of the proposed Settlement by following the instructions in Question 16 above.

GETTING MORE INFORMATION

20. Where can I get additional information?

This notice provides only a summary of the matters relating to the Settlement. For more detailed information, you may wish to review the Settlement Agreement. You can view the Settlement Agreement and get more information at www.WeissERISAsettlement.com. You can also get more information by writing to the Settlement Administrator at GWA ERISA Settlement, P.O. Box 2002, Chanhassen, MN 55317-2002, or calling toll-free at 1-877-620-4842. The Settlement Agreement and all other pleadings and papers filed in the case are available for inspection and copying during regular business hours at the office of the Clerk of Court, Dinah Milton Kinney, located at the Abraham Ribicoff Federal Building, United States Courthouse, 450 Main Street, Hartford, Connecticut 06103. For a fee, all papers filed in the Action are available at www.pacer.gov. All capitalized terms herein have the meaning ascribed to them in the Settlement Agreement.

**PLEASE DO NOT CONTACT THE COURT, THE JUDGE, OR GWA
WITH QUESTIONS ABOUT THE SETTLEMENT.**

EXHIBIT 2

GWA ERISA Settlement Administrator

P.O Box 2002

Chanhassen, MN 55317-2002

www.WeissERISAsettlement.com**ROLLOVER FORM**

ABC1234567890



JOHN Q CLASSMEMBER

123 MAIN ST

APT 1

ANYTOWN, ST 12345

Claim Number: 1111111

PIN: a!b@c#d\$

You may be eligible to receive a payment from a class action settlement. The court has preliminarily approved the class settlement of *Beth Andrew-Berry, et al. v George A. Weiss, et al.*, Civil Action No. 3:23-CV-00978-OAW (D. Conn.). That settlement provides for allocation of monies to certain persons who participated in or were beneficiaries of the GWA, LLC 401(k) Profit Sharing Plan (formerly known as the George Weiss Associates, Inc. 401(k) Profit Sharing Plan) ("Plan") at any time between July 24, 2017 and the Effective Date of the Settlement ("Class Members"). The terms of that Settlement are summarized in the accompanying Notice of Class Action Settlement that is included with this form. For additional information, please review the Notice, visit www.WeissERISAsettlement.com, email GWAERISA@noticeadministrator.com, or call 1-877-620-4842.

Class Members who want to receive their settlement payment through a rollover to a qualified retirement account must complete, sign, and mail this form with a postmark on or before **August 16, 2025**, or complete and sign it online at www.WeissERISAsettlement.com on or before **August 16, 2025**. Please review the instructions below carefully. **Class Members who do not complete and timely return this form may receive their settlement payment as a deposit into their Plan account, or by a check payable to themselves (subject to applicable tax withholding). If you have questions regarding this form, you may contact the Settlement Administrator as indicated below:**

www.WeissERISAsettlement.com OR call 1-877-620-4842 OR email GWAERISA@noticeadministrator.com

PART 1: INSTRUCTIONS FOR COMPLETING ROLLOVER FORM

1. If you would like to receive your settlement payment through a rollover to a qualified retirement account, complete and sign the rollover form online at www.WeissERISAsettlement.com on or before **August 16, 2025**. You should also keep a copy of all pages of your Rollover Form, including the first page with the address label, for your records.
2. Alternatively, you may mail your completed Rollover Form postmarked on or before **August 16, 2025**, to the Settlement Administrator at the following address:

GWA ERISA Settlement Administrator**P.O Box 2002****Chanhassen, MN 55317-2002**

It is your responsibility to ensure the Settlement Administrator has timely received your Rollover Form.

3. Other Reminders:
 - You must provide all required information (including date of birth and social security number) and sign and date your form where indicated below.
 - If you omit to provide all necessary information or fail to sign and date your form, payment will be made to you by check.
 - If you change your address after sending in your Rollover Form, please provide your new address to the Settlement Administrator.
 - **Timing Of Payments To Eligible Class Members.** The timing of the distribution of the Settlement payments are conditioned on several matters, including the Court's final approval of the Settlement and any approval becoming final and no longer subject to any appeals in any court. If the Settlement is approved by the Court, and there are no appeals, the Settlement distribution likely will occur within three months of the Court's Final Approval Order.

[ROLLOVER FORM CONTINUES ON THE NEXT PAGE]

4. **Questions?** If you have any questions about this Rollover Form, please call the Settlement Administrator at 1-877-620-4842, or email GWAERISA@noticeadministrator.com. The Settlement Administrator will provide advice only regarding completing this form and will not provide financial, tax or other advice concerning the Settlement. You therefore may want to consult with your financial or tax advisor. Information about the status of the approval of the Settlement and the Settlement administration is available on the settlement website, at www.WeissERISAsettlement.com.

PART 2: PARTICIPANT INFORMATION

First Name	Middle	Last Name
Mailing Address		
City	State	Zip Code
Home Phone	Work Phone or Cell Phone	
Participant's Social Security Number	Participant's Date of Birth	
	M M D D Y Y Y Y	
Email Address		

PART 3: BENEFICIARY OR ALTERNATE PAYEE INFORMATION (IF APPLICABLE)

- ☐ Check here if you are the **surviving spouse or other beneficiary** for the Class Member and the Class Member is deceased. **Documentation must be provided showing current authority of the representative to file on behalf of the deceased.** Please complete the information below and then continue on to Parts 4 and 5 on the next page.
- ☐ Check here if you are an alternate payee under a qualified domestic relations order (QDRO). The Settlement Administrator may contact you with further instructions. Please complete the information below and then continue on to Parts 4 and 5 on the next page.

Your First Name	Middle	Last Name
Your Social Security Number or Tax ID Number	Your Date of Birth	
	M M D D Y Y Y Y	
Your Mailing Address		
City	State	Zip Code

[ROLLOVER FORM CONTINUES ON THE NEXT PAGE]

PART 4: PAYMENT ELECTION

Direct Rollover to an Eligible Plan – Check only one box below and complete Rollover Information Section Below:

☐ Direct Rollover to a Roth IRA (subject to ordinary income tax)

Rollover Information:

Company or Trustee's Name (to whom the check should be made payable)

[illegible]

Company or Trustee's Mailing Address 1

[illegible]

Company or Trustee's Mailing Address 2

[illegible]

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PART 5: SIGNATURE, CONSENT, AND SUBSTITUTE IRS FORM W-9

UNDER PENALTIES OF PERJURY UNDER THE LAWS OF THE UNITED STATES OF AMERICA, I CERTIFY THAT ALL OF THE INFORMATION PROVIDED ON THIS ROLLOVER FORM IS TRUE, CORRECT, AND COMPLETE AND THAT I SIGNED THIS ROLLOVER FORM.

1. The Social Security number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. person (including a U.S. resident alien).

M M D D Y Y Y Y

Participant Signature

Date Signed (Required)

Note: If you are subject to backup withholding, you must cross out item 2 above. The IRS does not require your consent to any provision of this document other than this Form W-9 certification to avoid backup withholding.